

Electronic Acknowledgement Receipt

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Application Number:	10694872
International Application Number:	
Confirmation Number:	4103
Title of Invention:	System and method for managing the execution of trades between market makers
First Named Inventor/Applicant Name:	Nigel J. Renton
Customer Number:	63710
Filer:	David E. Boundy
Filer Authorized By:	
Attorney Docket Number:	03-6171
Receipt Date:	19-OCT-2009
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Application Type:	Utility under 35 USC 111(a)

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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Amendment/Req. Reconsideration-After Non-Final Reject	03-6171_091019_Reply_to_Res trn_Oct_2008_and_NNCA_Jun e_2009.pdf	166956 8c181ca6ab17e7d6dca9a004d169c7bdfef4302d	no	38

Warnings:

Information:

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If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.